

# Law Offices of Albert Wai-Kit Chan, LLC

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**FACSIMILE TRANSMISSION**  
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TO : EXAMINER MICHELE FLOOD, ART UNIT: 1654

COMPANY : UNITED STATES PATENT AND TRADEMARK OFFICE

FAX NO. : 703-746-5245

FROM : ALBERT WAI-KIT CHAN

DOCKET : SHEN, ET AL., FOR COMPOSITION COMPRISING  
EXTRACTS OF FLOS LONICERAE, FRUCTUS  
FORSYTHIAE AND RADIX SCUTELLARIAE, USES  
AND PREPARATION THEREOF, U.S. SERIAL NO.  
10/032,514, FILED OCTOBER 24, 2001, CLAIMING  
PRIORITY OF CHINESE PATENT APPLICATION NO.  
00125764.1, FILED ON OCTOBER 25, 2000 - DKT. #735-  
US

**OFFICIAL**TOTAL NUMBER OF PAGES, INCLUDING COVER SHEET: 50DATE : OCTOBER 10, 2003

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**Message**

Dear Examiner Flood,

As you requested, attached are the second supplemental IDS and references for the above-identified application.

Please see attached.

THE INFORMATION CONTAINED IN THIS FACSIMILE TRANSMISSION IS INTENDED SOLELY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS TRANSMISSION MAY CONTAIN INFORMATION THAT IS CONFIDENTIAL UNDER THE CLIENT AND ATTORNEY RELATIONSHIP. IF THE READER OF THIS MESSAGE IS NOT A DESIGNATED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO A DESIGNATED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR AND THAT ANY REVIEW, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, OR IF UPON READING THIS DOCUMENT YOU HAVE REASON TO BELIEVE THAT THE DOCUMENT WAS INADVERTENTLY SENT TO YOU, PLEASE NOTIFY US IMMEDIATELY BY COLLECT TELEPHONE CALL AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL. THANK YOU.

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Dkt. #735-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : SHEN, et al.  
U.S. Serial No.: 10/032,514 Examiner: Michele Flood  
Filed : October 24, 2001 Art Unit: 1654  
For : COMPOSITION COMPRISING EXTRACTS OF FLOS  
LONICERAE, FRUCTUS FORSYTHIAE AND RADIX  
SCUTELLARIAE, USES AND PREPARATION THEREOF

Law Offices of Albert Wai-Kit Chan, LLC  
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Whitestone, New York 11357

October 10, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

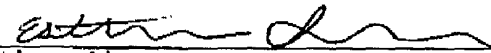
Sir/Madam:

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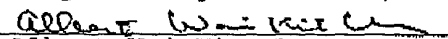
OCT 14 2003

FACSIMILE CERTIFICATE  
IN CONNECTION WITH THE ABOVE-IDENTIFIED APPLICATION

I hereby certify that this paper is being sent on the date indicated  
above and is addressed to Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

  
Printed Name: Esther Sim

Respectfully submitted,

  
Albert Wai-Kit Chan  
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Dkt. #735-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Whitestone, New York 11357

October 10, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, Applicants would like to direct the Examiner's attention to the following reference which is listed on Form PTO/SB/08A

(Exhibit A) and is attached hereto as Exhibit 1.

1. The 04 July 2000 United States Patent
2. The 01 August 1997 PCT Application
3. The Moyler, David A., Extraction of Essential Oils with Carbon Dioxide

Applicants : SHEN, et al.  
U.S. Serial No.: 10/032,514  
Filed : October 24, 2001  
Page : 2

If a telephone interview would be of assistance in advancing prosecution of the subject application, Applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

No fee is deemed necessary in connection with the filing of this Second Supplemental Information Disclosure Statement.

However, if any additional fee is required, authorization is given to charge the amount of any such fee to Deposit Account No. 50-1891.

Respectfully submitted,



Albert Wai-Kit Chan  
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Attorney for Applicant(s)  
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PTO/SB/08B (02-03)

Approved for use through 04/30/2003. OMB 0851-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number 10/032,514

Filing Date October 24, 2001

First Named Inventor Ping Niang Shen

Art Unit 1654

Examiner Name Michele Flood

Attorney Docket Number 735-US

Sheet 2 of 2

**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Y <sup>2</sup>
	3	Moyler, David A., Extraction of Essential Oils with Carbon Dioxide, Flavor and Fragrance Journal, Vol. 8, 235-147 (1993), 235-247	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.